

3166-001	\$200.00
3166-001	50.00
3166-001	150.00
3166-006	10.00



STATE OF TENNESSEE  
DEPARTMENT OF HEALTH  
HEALTH RELATED BOARDS  
**BOARD FOR PROFESSIONAL COUNSELORS, MARITAL & FAMILY THERAPISTS,  
AND CLINICAL PASTORAL THERAPISTS**

227 French Landing, Suite 300  
Heritage Place Metro Center  
NASHVILLE, TENNESSEE 37243

[www.tennessee.gov](http://www.tennessee.gov)

(800) 778-4123, ext. 25138

(615) 532-3202, ext. 25138

**APPLICATION FOR LICENSE AS A PROFESSIONAL COUNSELOR**

- \_\_\_\_\_ **LPC**  
 \_\_\_\_\_ **LPC/MHSP (MHCE)\***  
 \_\_\_\_\_ **Temporary**  
 \_\_\_\_\_ **Reciprocity**  
 \_\_\_\_\_ **Upgrade from CPC to LPC**

- Please review Rule 0450-1-.04 Qualification for Licensure
- Enclose a certified copy of your birth certificate
- Enclose a passport photo taken within the last 12 months
- Transcripts must be mailed from your educational institution
- NBCC scores must be mailed from National Board Office
- Enclose or have mailed 2 letters of recommendation (must be original, no copies will be accepted)
- Submit \$210.00 non-refundable (if applying for temporary licensure please remit \$360.00)
- **Please submit the Mandatory Practitioner Profile with your application**

**\*Please note – LPC-MHSP applicant will be required to take the MHCE given by NBCC**

<b>NAME</b> _____		
First	Middle and/or Maiden	Last
<b>DATE OF BIRTH</b> _____		<b>SOCIAL SECURITY #</b> _____
<b>CURRENT HOME MAILING ADDRESS:</b>		<b>CURRENT PRACTICE ADDRESS:</b>
_____		_____
_____		_____
_____		_____
<b>HOME PHONE #</b> _____		<b>WORK PHONE #</b> _____
List all states where you currently have or have ever had a Professional Counselor license.		
_____		

## COURSE WORK SUMMARY

All graduate courses, titles, and numbers listed on this page must also appear on the transcript(s) sent directly from your college or university to the Board's Administrative Office. If a course is taken in more than one (1) area, list the credit hours in only one (1) category.

<u>COURSE CATEGORIES (Core Area)</u>	<u>*CREDIT HOURS</u>	<u>INSTITUTION</u>
THEORIES OF HUMAN BEHAVIOR, LEARNING AND PERSONALITY		
ABNORMAL BEHAVIOR AND PSYCHOPATHOLOGY		
THEORIES OF COUNSELING AND PSYCHOTHERAPY		
EVALUATION AND APPRAISAL PROCEDURES		
GROUP DYNAMICS, THEORIES AND TECHNIQUES		
COUNSELING TECHNIQUES		
ETHICS		
RESEARCH		
USE OF THE DSM		
TREATMENT AND TREATMENT PLANNING		

\*Convert all quarter credit hours to semester credit hours: # of quarter hours x .67 = # of semester hours

### COURSE WORK SUMMARY, CONTINUED

If the course work listed on page 2 of this application is less than the sixty (60) hours required by T.C.A. §63-22-104, list additional courses below.

[illegible]

\*Count all quarter credit hours to semester hours: # of quarter hours x .67 = # of semester hours

**CLINICAL PRACTICUM/INTERNSHIP**

**LIST THE LOCATION AND DATES OF SUPERVISED PRACTICUM(S)/INTERNSHIP IN COUNSELING, WHICH INCLUDES A MINIMUM OF FIVE HUNDRED (500) CLOCK HOURS OF TRAINING. AT LEAST THREE HUNDRED (300) HOURS MUST BE COMPLETED IN A MENTAL HEALTH OR COMMUNITY AGENCY SETTING.**

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## COMPETENCY INFORMATION

**PLEASE ANSWER THE FOLLOWING QUESTIONS.** If any answers to questions in this part are in the affirmative, attach an explanation on a separate sheet. **In support of your explanation, the final documents or orders from the issuing states, courts, and/or agencies must be submitted along with this application.**

For the purposes of these questions, the following phrases or words have the following meanings:

1. **“Ability to practice professional counseling”** is to be construed to include all of the following:
  - a. The cognitive capacity to make appropriate diagnosis or evaluation, exercise reasoned judgment, and to learn, and keep abreast of professional counseling developments; and
  - b. The ability to communicate those judgments and information to clients and other health care providers, with or without the use of aids or devices, such as voice amplifiers; and
  - c. The physical capability to perform required tasks and procedures, with or without the use of aids or devices, such as corrective lenses or hearing aids.
2. **“Medical Condition”** includes physiological, mental or psychological conditions or disorders, such as, but not limited to; orthopedic, visual, speech and/or hearing impairments, cerebral palsy, epilepsy, muscular dystrophy, multiple sclerosis, cancer, heart disease, diabetes, mental retardation, emotional or mental illness, specific learning disabilities, HIV disease, tuberculosis, drug addiction, and alcoholism.
3. **“Chemical substances”** is to be construed to include alcohol, drugs, or medications, including those taken pursuant to a valid prescription for legitimate medical purposes and in accordance with the prescriber’s direction, as well as those used illegally.
4. **“Currently”** does not mean on the day of, or even in the weeks or months preceding the completion of this application. Rather it means recently enough so that the use of drugs or alcohol may have an ongoing impact on one’s functioning as a licensee or within the past two (2) years.
5. **“Illegal use of controlled substances”** means the use of controlled substances obtained illegally (e.g., heroin, or cocaine) as well as the use of controlled substances that are not obtained pursuant to a valid prescription or not taken in accordance with the directions of a licensed health care practitioner.

### QUESTIONS:

**YES**

**NO**

1. Do you currently have a medical condition which in any way impairs or limits your ability to practice professional counseling with reasonable skill and safety?

\_\_\_\_\_
- a. If yes, are they reduced or ameliorated because you receive ongoing treatment (with or without medications) or participate in a monitoring program?

\_\_\_\_\_
- b. If you have any limitations or impairments caused by an existing medical condition, are they reduced or ameliorated because of the field of practice, the setting, or the manner in which you have chosen to practice?

\_\_\_\_\_

[If you receive such ongoing treatment or participate in such a monitoring program, the Board will make an individual assessment of the nature, the severity and the duration of the risks associated with an ongoing medical condition so as to determine whether an unrestricted license should be issued, whether conditions should be imposed, or whether you are not eligible for licensure.]

### QUESTIONS:

**YES**

**NO**

2. Do you currently use chemical substances?

\_\_\_\_\_
- a. If yes, do they in any way impair or limit your ability to practice professional counseling with reasonable skill and safety?

\_\_\_\_\_
3. Are you currently engaged in the illegal use of controlled substances?

\_\_\_\_\_
- a. If yes, are you currently participating in a supervised rehabilitation program or professional assistance program that monitors you in order to assure that you are not engaged in the illegal use of controlled substances?

\_\_\_\_\_
4. Have you ever been diagnosed as having or have you ever been treated for pedophilia, exhibitionism, or voyeurism?

\_\_\_\_\_

**QUESTIONS:**

**YES**

NO

5. If you have ever held or applied for a license or certificate to practice professional counseling in any state, country, or province, has it or was it ever been denied, reprimanded, suspended, restricted, revoked, otherwise disciplined, curtailed, or voluntarily surrendered under threat of investigation or disciplinary action?
6. If you have ever held staff privileges at any hospital or health care facility have they ever been revoked, suspended, curtailed, restricted, limited, or otherwise disciplined, or voluntarily surrendered under threat of restriction or disciplinary action?
7. Have you ever applied for and been denied a state or federal controlled substance certificate?
  - a. If you have possessed such a certificate has it ever been revoked, suspended, restricted, otherwise disciplined, or voluntarily under threat of investigation or disciplinary action?
8. Have you ever been convicted of a felony or a misdemeanor other than a minor traffic offense?
9. Have you ever been rejected or censured by a professional association?
10. In relation to the performance of your professional services in any profession:
  - a. Have you ever had a final judgment rendered against you;
  - b. Have you ever had settlement of any legal action rendered against you; or
  - c. Are there any legal actions pending against you or to which you are a party?
11. If you have ever held a license or certificate in any health care profession, has it ever been reprimanded, suspended, restricted, revoked, otherwise disciplined, curtailed, or voluntarily surrendered under threat of investigation or disciplinary action?

**APPLICANT: FILL OUT THE FOLLOWING AFFIDAVIT IN THE PRESENCE OF A NOTARY PUBLIC**

## AFFIDAVIT AND RELEASE

I, \_\_\_\_\_, of \_\_\_\_\_, \_\_\_\_\_  
*(Applicant's Name)* *(City)* *(State)*

being duly sworn and identified as the person referred to in this application, and signed photos attests to the truth of each statement made in said application. I further swear that I have read and understand the statute and the Rules and Regulations, which were enclosed in the application packet, and agree to abide by them in the practice of professional counseling in the State of Tennessee.

**I HEREBY:**

**SIGNIFY** my willingness to appear to answer such questions as the Board may find necessary, which may include a full Board interview.

**RELEASE** to the Board, its staff, and their representatives, any and all documentation necessary now and in the future to establish my physical and mental capabilities to safely practice professional counseling.

**AUTHORIZE** release, use of disclosure of otherwise HIPAA protected health information to the limited extent necessary for my application to receive full consideration up to and including discussion in a public forum should that become necessary.

**AUTHORIZE** the board, its staff, and their representatives to consult with my prior and current associates and others who may have information bearing on my professional competence, character, health status, ethical qualifications, ability to work cooperatively with others, and other qualifications.

**RELEASE** from liability the Board, its staff, and all their representatives and any and all organizations that provide information for their acts performed and statements made in good faith and without malice concerning my competence, ethics, character, and other qualifications for licensure.

**ACKNOWLEDGE** that I, as an applicant for licensure, have the burden of producing adequate information for a proper evaluation of my professional, ethical, other qualifications, and for resolving any doubts about such qualifications.

**THIS CERTIFIES THAT THE INFORMATION SUBMITTED BY ME IN THIS APPLICATION IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE AND BELIEF.**

**SIGNATURE**

DATE \_\_\_\_\_

Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_,

**NOTARY PUBLIC**

Affix Seal Here

My Commission expires \_\_\_\_\_

**REQUEST FOR TEMPORARY LICENSURE  
AS A PROFESSIONAL COUNSELOR WITH  
MENTAL HEALTH SERVICE PROVIDER DESIGNATION**

Applicant: If you desire a temporary license, have your supervisor complete this page, and add \$150 to the fee requested in instruction #2 on the first page of this application. Do not send this page separately; a request for temporary license must be returned with entire application.

Name of Applicant \_\_\_\_\_  
(please print)

I, the undersigned, hereby accept responsibility for direct supervision of the above named applicant.

\_\_\_\_\_  
Name of Supervisor

\_\_\_\_\_  
License Number of Supervisor

\_\_\_\_\_  
Date of initial license

\_\_\_\_\_  
Title of Supervisor's License:  
(i.e., M.D., D.O., L.P.C./M.H.S.P., L.M.F.T., L.C.S.W., Lic. Psychologist)

If license is M.D. or D.O., are you certified by the American Board of Psychiatry and Neurology? ☐ Yes ☐ No

Name, Address & \_\_\_\_\_  
Telephone # of \_\_\_\_\_  
Supervisor's Facility \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone # of Supervisor: \_\_\_\_\_

\_\_\_\_\_  
Signature of Supervisor

Subscribed and sworn to me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_

(SEAL)

**For Office Use Only**  
**Temporary License**

Number \_\_\_\_\_

Issued \_\_\_\_\_

Expires \_\_\_\_\_

Extended \_\_\_\_\_

## VERIFICATION OF SUPERVISED POST-MASTERS EXPERIENCE

PLEASE COMPLETE THIS FORM AND RETURN IT TO THE ADDRESS BELOW.

If the applicant is requesting Mental Health Service Provider designation, then on your letterhead stationery please describe the nature of the applicant's client contact and indicate the Mental Health Services which the applicant delivered during the supervised experience. Type or print legibly. The experience should have included significant opportunity to appraise and assess, diagnose psychopathology, formulate treatment plans, and execute treatment using the **DSM** for mental disorders.

### **TO BE COMPLETED BY THE APPLICANT'S SUPERVISOR**

NAME OF APPLICANT: \_\_\_\_\_

NAME OF SUPERVISOR: \_\_\_\_\_

TITLE OF SUPERVISOR: \_\_\_\_\_

LICENSE NUMBER OF SUPERVISOR NAMED ABOVE: \_\_\_\_\_

TITLE OF LICENSE (i.e. M.D., D.O., L.P.C./M.H.S.P., L.M.F.T., L.C.S.W., Lic. Psychologist/H.S.P.) \_\_\_\_\_

If license is M.D. or D.O., are you certified by the American Board of Psychiatry and Neurology? \_\_\_\_ Yes \_\_\_\_ No

DATE OF INITIAL LICENSE: \_\_\_\_\_

EXPIRATION DATE OF LICENSE: \_\_\_\_\_

IS YOUR LICENSE IN GOOD STANDING? \_\_\_\_\_

HAVE YOU EVER HAD ANY DISCIPLINARY ACTION TAKEN AGAINST YOU OR YOUR LICENSE? \_\_\_\_ Yes \_\_\_\_ No

IF YES, PLEASE EXPLAIN: \_\_\_\_\_

I HEREBY CERTIFY THAT I SUPERVISED: \_\_\_\_\_

THIS SUPERVISION INCLUDED: \_\_\_\_\_ (Name of Applicant)

\_\_\_\_\_ HRS. INDIVIDUAL SUPERVISION DATES OF SUPERVISION:

\_\_\_\_\_ HRS. GROUP SUPERVISION FROM \_\_\_\_\_ TO \_\_\_\_\_

\_\_\_\_\_ HRS OF CLINICAL EXPERIENCE UNDER SUPERVISION

I CERTIFY THAT THE INFORMATION GIVEN IS CORRECT.

\_\_\_\_\_  
SUPERVISOR'S SIGNATURE

\_\_\_\_\_  
DATE

SWORN TO BEFORE ME THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC

MY COMMISSION EXPIRES \_\_\_\_\_

AFFIX SEAL HERE

SEND TO:  
Board for PC/MFT/CPT  
227 French Landing, Suite 300  
Heritage Place Metro Center  
Nashville, TN 37243

**THIS PAGE MAY BE DUPLICATED IF NEEDED.**



STATE OF TENNESSEE  
DEPARTMENT OF HEALTH  
HEALTH RELATED BOARDS  
BOARD FOR PROFESSIONAL COUNSELORS, MARITAL & FAMILY THERAPISTS,  
& CLINICAL PASTORAL THERAPISTS

227 French Landing, Suite 300  
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Toll Free (800) 778-4123, ext. 25138

Local (615) 532-3202, ext. 25138

**CLEARANCE FROM OTHER STATE PROFESSIONAL COUNSELING LICENSING BOARDS**

Please complete the top portion and mail this form to the regulatory board in each state where you hold or have held a license to practice as a Professional Counselor. (If additional forms are required, this form may be duplicated.)

**NOTE:** Some states require a fee for providing clearance information. In order to expedite your application, you may wish to contact the applicable state or states.

I was granted \_\_\_\_\_ on \_\_\_\_\_ by the State of \_\_\_\_\_  
Lic. # \_\_\_\_\_ Date \_\_\_\_\_

The Tennessee Board for Professional Counselors, Marital and Family Therapists, and Clinical Pastoral Therapists requests that I submit evidence that my license in your state is in good standing. You are hereby authorized to release any information in your files, favorable or otherwise, directly to the Tennessee Board for Professional Counselors, Marital & Family Therapists, & Clinical Pastoral Therapists.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

SSN#: \_\_\_\_\_ Printed Name: \_\_\_\_\_

**THIS PORTION IS TO BE COMPLETED BY STATE LICENSING BOARD**

License Number: \_\_\_\_\_ Date Issued: \_\_\_\_\_

Basis of Issuance: \_\_\_\_\_ Examination: \_\_\_\_\_ National \_\_\_\_\_ State \_\_\_\_\_ Other \_\_\_\_\_  
\_\_\_\_\_ Endorsement/Reciprocity  
\_\_\_\_\_ Other

License currently registered: \_\_\_\_\_ Yes \_\_\_\_\_ No

Derogatory Information on File: \_\_\_\_\_ Yes \_\_\_\_\_ No

If "yes", please attach explanation.

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

JK/G4019288/PC





**TENNESSEE DEPARTMENT OF**  
**HEALTH**

**MANDATORY**  
**PRACTITIONER**  
**PROFILE QUESTIONNAIRE**

**PURSUANT TO TENNESSEE CODE ANNOTATED SECTION 63-51-101 et seq.,  
LAWS OF TENNESSEE**

**FOR**  
**LICENSED HEALTH CARE PROVIDERS**

## **FOREWORD**

**The Health Care Consumer Right-to-Know Act of 1998, T.C.A. § 63-51-101 et seq, requires designated licensed health professionals to furnish certain information to the Tennessee Department of Health. The information specified in the law is contained in the attached questionnaire. From the information submitted, the Department will compile a practitioner profile which is required to be made available to the public via the World Wide Web and toll-free telephone line after May 1, 1999. Each practitioner who has submitted information must update that information in writing by notifying the Department of Health, Healthcare Provider Information Unit, within 30 days after the occurrence of an event or an attainment of a status that is required to be reported by the law. A copy of your initial or updated profile will be furnished to you for your review prior to publication. That opportunity will allow you to make corrections, additions and helpful explanatory comments. Failure to comply with the requirement to submit and update profiling information constitutes a ground for disciplinary action against your license. A blank copy of the profile may be obtained from the following web site address: <http://tennessee.gov/health>.**

**On the department's homepage, under Licensing, click on "Health Professional Boards"; then select the appropriate board.**

# TABLE OF CONTENTS

	Page
<b>SECTION I: GENERAL INSTRUCTIONS</b>	<b>i-iii</b>
<b>SECTION II: COMPLETING THE PROFILE QUESTIONNAIRE</b>	<b>iv-vi</b>
<b>SECTION III: MANDATORY PRACTITIONER PROFILE QUESTIONNAIRE</b>	<b>1-6</b>

## **SECTION I: GENERAL INSTRUCTIONS**

- ▶ **Read all instructions thoroughly before completing the profile questionnaire. Incomplete or omitted information may delay meeting the mandatory reporting requirement.**
- ▶ **Incomplete or illegible profiles will be returned to the provider for resubmission.**
- ▶ **Some questions do not apply to every profession. If a question does not apply to you, indicate so by checking the “Does not apply” box.**
- ▶ **Provide only information for the previous ten (10) years where indicated on the questionnaire.**
- ▶ **Complete the questionnaire and attachments by typing or printing your response in block letters in ballpoint pen. Incomplete or illegible profiles will be returned to the provider for resubmission. Some questions do not apply to every profession. If a question does not apply to you, indicate so by checking the “Does not apply” box.**
- ▶ **DO NOT RETURN THESE INSTRUCTIONS WITH THE QUESTIONNAIRE TO THE DEPARTMENT.**
- ▶ **You may have completed a similar questionnaire for another state’s licensing board. If so, Tennessee law still requires you to complete and submit this form.**
- ▶ **If you have an active Tennessee license you are required to complete the questionnaire. This includes those practitioners who are retired or no longer practicing.**

- ▶ **Mail the completed ORIGINAL profile questionnaire within thirty (30) days of its receipt by the provider to:**

**Healthcare Provider Information Manager  
Tennessee Department of Health  
Division of Health Related Boards  
227 French Landing, Suite 300  
Heritage Place Metro Center  
Nashville, TN 37243  
1-800-778-4123  
Local - (615) 532-3202**

- ▶ **Keep a copy of the questionnaire for your records.**

## ✓CHECKLIST

Before you mail your questionnaire:

- ☐ Have all questionnaire and supplemental pages been completed with the name of the practitioner, profession and license number at the top of the page?
- ☐ Have supplemental pages been clearly labeled with the corresponding question for which the response is being provided?
- ☐ Have you retained a copy of your signed questionnaire?

## SECTION II:

### COMPLETING THE PROFILE QUESTIONNAIRE

#### QUESTIONNAIRE DEADLINE

The provider must submit the questionnaire on or before thirty (30) days from its receipt.

#### COMPLETING THE FORMS

Complete all forms by printing neatly in block letters in ballpoint pen or typing the information. If a question does not apply to you, indicate so by checking the “Does not apply” box. **Illegible questionnaires will be returned.**

The following numbered parts correspond to the matching number on the questionnaire form.

#### **I. PRACTITIONER DATA**

Complete part one (1) noting the following:

- License number: Fill in your license number and indicate your profession in the space provided.
- Social security number: **Your social security number will not be published or in any way given out to the public. It is required for in-house tracking purposes only.**
- Address: Complete mailing and practice address (if applicable). Retirees: Write in “N/A” for practice address.

#### **II. GRADUATE/POSTGRADUATE MEDICAL/PROFESSIONAL EDUCATION AND TRAINING**

List chronologically all medical/health professional related graduate/postgraduate education and training completed. Exclude any program or courses taken to satisfy continuing education requirements for licensure renewal. Provide information about health related degrees you have received including your licensure degree.

#### **III. SPECIALTY BOARD CERTIFICATIONS**

Provide information on any certification, specialty or subspecialty from any specialty board recognized by the American Medical Association, American Osteopathic Medical Association, American Podiatry Association, American Chiropractic Association, American Dental Association or any other specialty certifying body as determined by your Tennessee licensing board.

#### IV. FACULTY APPOINTMENTS

Answer ALL yes/no questions with a “yes” or “no” response. A brief statement in the space provided should follow a “yes” answer. If the space is insufficient for your response, attach an additional page, being sure to number the response to match the appropriate question.

#### V. STAFF PRIVILEGES

List all hospitals at which you hold staff privileges. This includes:

- Licensed hospitals-this term is defined at T.C.A. § 68-11-201.

In the spaces provided, answer information about the TennCare plans in which you participate, if any. If there are more than five (5), please send attachment.

#### VI. FINAL DISCIPLINARY ACTION

These questions refer to final disciplinary or adverse actions taken within the previous **ten (10) years**, whether in this state or any other jurisdiction. The term **final** means the matter was fully adjudicated at a hearing and the appeal’s period expired, or that the applicable board issued an agreed order or consent decree.

In the “Description of Violation” spaces, indicate the nature of the conduct in question such as malpractice, unethical conduct, drug-related, sex related, impairment, fraud, etc.

In the “Description of Action” spaces, indicate the type of disciplinary action imposed against your professional license.

The term **disciplinary action** includes, but is not limited to:

- Probation
- Limitation/Restriction
- Suspension
- Revocation
- Voluntary relinquishment in lieu of disciplinary action
- Any other adverse action taken against a license or privilege by a medical/health related institution
- Compulsory surrender of license or privilege
- Civil or other monetary fine or penalty
- Resignation from or non-renewal of medical staff membership at a hospital in lieu of, or in settlement of, a pending disciplinary case related to competence or character
- Restriction of privileges in lieu of, or in settlement of, a pending disciplinary case related to competence or character

**If you answer “yes” to any of the questions in this section and if the action is under appeal, you must attach a copy of the notice of appeal. Note: You must submit a copy of the final written order of**



disposition immediately after the appeal is disposed of by the adjudicating authority. Please read questions VII B and C in their entirety before answering those questions.

## **VII. CRIMINAL OFFENSES**

This part requires you to report any state or federal felony criminal offense convictions. It also requires the reporting of misdemeanor offenses, regardless of classification, in which any element of the offense involves sex; alcohol or drugs; physical injury or threat of injury to any person; abuse or neglect of any minor, spouse or the elderly; fraud or theft in Tennessee or another jurisdiction; or unlicensed practice of a profession within the most recent ten (10) years. If you answer “yes” to this question and the offense is under appeal, you must submit a copy of the notice of appeal of that criminal offense. Immediately upon disposition of the appeal, you must submit a copy of the final written order of disposition. If any misdemeanor conviction reported is expunged, a copy of the order of expungement signed by the judge must be submitted to the Department before the conviction will be removed from any profile.

## **VIII. LIABILITY CLAIMS**

This section requires you to indicate all medical malpractice court judgments, arbitration awards, or settlements in which a payment was awarded to a complaining party beginning with judgments or settlements entered or executed after May 19, 1998. That means if the act or event leading to the claim occurred in, for instance, 1995, but was finally adjudicated against you after May 19, 1998, you must indicate that claim in the space provided. JUDGMENTS OR SETTLEMENTS BELOW THE THRESHOLD AMOUNT ESTABLISHED BY YOUR TENNESSEE LICENSING BOARD ARE NOT REQUIRED TO BE SUBMITTED. To find out the threshold amount established by your board, consult your board’s web page at [www.state.tn.us/health/](http://www.state.tn.us/health/) or call 1-800-778-4123. Pending malpractice claims are not required to be reported unless/until final adjudication against you.

## **IX. OPTIONAL INFORMATION**

This section is voluntary. You may list, briefly describe, and submit any information/documentation regarding your professional practice in the spaces provided. Attach an additional sheet labeled with the question number if additional space is required.

Practitioner's Name \_\_\_\_\_ License # \_\_\_\_\_  
Profession \_\_\_\_\_

SECTION III:

**HEALTHCARE PROVIDER INFORMATION MANAGER  
TENNESSEE DEPARTMENT OF HEALTH  
DIVISION OF HEALTH RELATED BOARDS  
227 FRENCH LANDING, SUITE 300  
HERITAGE PLACE METRO CENTER  
NASHVILLE, TENNESSEE 37243**

**I. PRACTITIONER DATA**

- A. PROFESSIONAL LICENSE NUMBER: \_\_\_\_\_ PROFESSION: \_\_\_\_\_  
B. SOCIAL SECURITY NUMBER: \_\_\_\_\_ (This will not be published as part of the profile or website).

- C. NAME (INCLUDE MAIDEN AND ON 2<sup>ND</sup>/3<sup>RD</sup> LINES ANY ALIASES, IF APPLICABLE):  
CURRENT NAME:

\_\_\_\_\_  
(LAST) (FIRST) (MIDDLE AND MAIDEN NAME)  
(IF APPLICABLE)

FORMER NAME(S):

\_\_\_\_\_  
(LAST) (FIRST) (MIDDLE)

\_\_\_\_\_  
(LAST) (FIRST) (MIDDLE)

- D. MAILING  
ADDRESS:

\_\_\_\_\_  
(STREET AND NUMBER)

\_\_\_\_\_  
(CITY) (STATE) (ZIP CODE)

PRIMARY PRACTICE ADDRESS: (This will be published as part of the profile and the web site).

\_\_\_\_\_  
(PRACTICE NAME)

\_\_\_\_\_  
(STREET AND NUMBER)

\_\_\_\_\_  
(CITY) (STATE) (ZIP CODE)

- E. TELEPHONE: (\_\_\_\_\_) \_\_\_\_\_ (This will not be published as part of the profile or the web site).

- F. LANGUAGES, OTHER THAN ENGLISH: Indicate languages other than English or translation services that may be available at your primary practice location.

1. \_\_\_\_\_  
2. \_\_\_\_\_

- G. SUPERVISING PHYSICIAN: If you are required by law to be supervised by a physician (physician assistant or nurse practitioner) indicate the name(s) and address(es) of each supervising physician. If you need more space, attach additional sheets:

1. \_\_\_\_\_  
2. \_\_\_\_\_

Practitioner's Name \_\_\_\_\_ License # \_\_\_\_\_  
 Profession \_\_\_\_\_

## II. GRADUATE/POSTGRADUATE MEDICAL/PROFESSIONAL EDUCATION AND TRAINING

- A. What school(s)/educational programs have you attended? And, what type(s) of degree(s) do you hold? Do not include coursework taken to meet the continuing education requirement for licensure renewal. (Authority: T.C.A. §63-51-105(a)(6) and (7))

PROGRAM/INSTITUTION	CITY/STATE/ COUNTRY	DATE OF GRADUATION	TYPE OF DEGREE
1.			
2.			
3.			
4.			
5.			
6.			

- B. List in chronological order from date of graduation to the present, all completed medical/professional graduate and/or post-graduate training (internship, residency, fellowship or other program). Do not include coursework taken to meet continuing education requirements for licensure renewal. (Authority: T.C.A. § 63-51-105(a)(6))

PROGRAM AND SPECIALTY AREA (INTERNSHIP, RESIDENCY, FELLOWSHIP, ETC.)	LOCATION OF TRAINING (CITY, STATE, COUNTRY)	FROM MM/DD/YYYY	TO MM/DD/YYYY
1.			
2.			
3.			
4.			

Practitioner's Name \_\_\_\_\_ License # \_\_\_\_\_  
Profession \_\_\_\_\_

### III. SPECIALTY BOARD CERTIFICATIONS

Do you hold a certification, specialty or subspecialty from any specialty board recognized by the board regulating the profession for which you are licensed? (see instructions) (Authority: T.C.A. § 63-51-105(a)(8)) If "Yes", complete section below. YES ☐ NO ☐

CERTIFYING BODY/BOARD INSTITUTION	CERTIFICATION/SPECIALTY/SUBSPECIALTY
1.	
2.	
3.	
4.	
5.	

### IV. FACULTY APPOINTMENTS

A. Have you had the responsibility for graduate medical education within the last ten (10) years? (Authority: T.C.A. § 63-51-105(a)(10)) YES ☐ NO ☐

B. Do you currently hold a faculty appointment at a medical/health related institution of higher learning? (Authority: T.C.A. § 63-51-105(a)(10)) YES ☐ NO ☐

If "YES", list the title of the appointment and name(s) and city/state of institution(s). (Attach additional sheets, clearly labeled with this question number, if necessary.)

	TITLE	INSTITUTION	CITY/STATE
1.			
2.			
3.			
4.			

### V. STAFF PRIVILEGES

A. Do you currently hold staff privileges at a hospital? (Authority: T.C.A. §63-51-105(a)(a)) YES ☐ NO ☐

If "YES", list each hospital at which you currently have staff privileges: (Attach additional sheets, clearly labeled with this question number, if necessary)

Name of Hospital	City/State
1.	
2.	
3.	
4.	
5.	

Practitioner's Name \_\_\_\_\_ License # \_\_\_\_\_  
Profession \_\_\_\_\_

B. Do you currently participate in any TennCare plan? (Authority: T.C.A. § 63-51-105(a)(16)) YES ☐ NO ☐  
If "YES", list each plan in which you currently participate:

Name of TennCare Plan

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

## VI. FINAL DISCIPLINARY ACTION (See Instructions)

A. Within the previous ten (10) years, have you ever had any final disciplinary action taken against you by the agency regulating your license, in this state or any other jurisdiction? (Authority: T.C.A. § 63-51-105(a)(8)) YES ☐ NO ☐

If "YES", list name(s) and address(es) of agency(s) and a brief description of the final disciplinary action(s) and stated reason(s) for taking the action. (Attach additional sheets, clearly labeled with this question number, if necessary.)

AGENCY NAME	DATE	DESCRIPTION OF VIOLATION	DESCRIPTION OF ACTION
-------------	------	--------------------------	-----------------------

1.	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

2.	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

3.	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

Practitioner's Name \_\_\_\_\_ License # \_\_\_\_\_  
Profession \_\_\_\_\_

B. Within the previous ten (10) years, have you ever had your hospital privileges revoked or involuntarily restricted for reasons related to competence or character by the hospital's governing body? (Authority: T.C.A. § 63-15-105(a)(4)) YES ☐ NO ☐

If "YES", list name(s) and address(es) medical institution(s) and a brief description of the final disciplinary action(s) and stated reason(s) for the action. (Attach additional sheets, clearly labeled with this question number, if necessary)

	HOSPITAL NAME	DATE	DESCRIPTION OF VIOLATION	DESCRIPTION OF ACTION
1.	_____	_____	_____	_____
	_____	_____	_____	_____
	_____	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

2.	_____	_____	_____	_____
	_____	_____	_____	_____
	_____	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

3.	_____	_____	_____	_____
	_____	_____	_____	_____
	_____	_____	_____	_____

If "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

C. Within the previous ten (10) years, have you ever been asked to or allowed to resign from or had any medical staff privileges restricted or not renewed by any hospital in lieu of or in settlement of a pending disciplinary action related to competence or character? (Authority: T.C.A. § 63-51-105(a)(4)) YES ☐ NO ☐

If "YES", list name(s) and address(es) of the hospital(s) and a brief description of the final disciplinary action(s) and stated reason(s) for the action. (Attach additional sheets, clearly labeled with this question number, if necessary)

	HOSPITAL NAME	DATE	DESCRIPTION OF ACTION
1.	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

2.	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

IF "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

3.	_____	_____	_____
	_____	_____	_____
	_____	_____	_____

If "YES", is this final disciplinary action under appeal? (attach copy of notice of appeal) YES ☐ NO ☐

Practitioner's Name \_\_\_\_\_ License# \_\_\_\_\_  
Profession \_\_\_\_\_

## VII. CRIMINAL OFFENSES (See Instructions)

Have you within the most recent ten (10) years, been found guilty, regardless of whether adjudication of guilt was withheld, or pled guilty or nolo contendere to a criminal misdemeanor or felony in any jurisdiction? (Authority: T.C.A. § 63-15-105(a)(1))

If "YES" briefly describe the offense(s):

YES ☐ NO ☐

DESCRIPTION OF OFFENSE	DATE	JURISDICTION
1. _____ If "YES", is this conviction under appeal? (attach copy of notice of appeal)	_____	YES <input type="checkbox"/> NO <input type="checkbox"/>
2. _____ If "YES", is this conviction under appeal? (attach copy of notice of appeal)	_____	YES <input type="checkbox"/> NO <input type="checkbox"/>
3. _____ If "YES", is this conviction under appeal? (attach copy of notice of appeal)	_____	YES <input type="checkbox"/> NO <input type="checkbox"/>

## VIII. LIABILITY CLAIMS

Have you had a medical malpractice court judgment, arbitration award, or settlement against you since May 19, 1998? (Authority: T.C.A. §63-51-105(a)(5)) If "YES", indicate the date of claim(s) and the amount of judgment(s), award(s) or settlement(s).

ENTRY DATE OF DISPOSITION ORDER OR SETTLEMENT	AMOUNT
1. _____	_____
2. _____	_____
3. _____	_____

## IX. OPTIONAL INFORMATION

A. PUBLICATIONS: List any publications you have authored in peer-reviewed medical literature: (optional) (Authority: T.C.A. § 63-15-105(a)(11))

TITLE	PUBLICATION	DATE
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. PROFESSIONAL OR COMMUNITY SERVICE ACTIVITIES AWARDS: List any information regarding professional or community service associates, activities and awards: (optional) (Authority: T.C.A. § 63-15-105(a)(12))

COMMUNITY SERVICE/AWARD/HONOR	ORGANIZATION
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

I affirm these statements are true and correct and recognize that providing false information may result in disciplinary action against my license pursuant to T.C.A. § 63-51-113 and/or 63-51-118.

\_\_\_\_\_  
(Signature of Provider)  
YB/G6019027/RTK-ms.70

Date: \_\_\_\_\_